SC

JCWSCS 1 5 SEP 2004

SEP. 14. 2004 3:48PM

CHRISTENSEN OCONNOR

NO. 3101 P. 1

1420 FIFTH AVENUE, SUITE 2800 SEATTLE, WASHINGTON 98101-234

TELEPHONE: 206.682,8100

FAX: 206.224.0779 INTERNET: www.cojk.com

CHRISTENSEN
O'CONNOR
JOHNSON
KINDNESS****

LAW OFFICES

PATENT, TRADEMARK AND OTHER INTELLECTUAL PROPERTY MATTERS

FACSIMILE COVER SHEET

DATE: September 14, 2004

TO:

Office of Initial Patent Examination

U.S. Patent and Trademark Office

FACSIMILE NO:

703.746.9195

RE:

U.S. Patent Application No. 10/826,130

Title: METHODS AND VECTORS FOR

EXPRESSING siRNA

OUR REFERENCE:

UWOTL-1-22738

YOUR REFERENCE:

Confirmation No. 8693

FROM:

Karen Blöchlinger, Ph.D.

Reg. No. 41,395

(Facsimile No. 206.224.0779)

MESSAGE: Transmitted herewith are the following:

- Declaration of Patent Practitioners in Compliance with 37 CFR 1.32 (2 pages)
- Copy of previously filed Declaration and Power of Attorney for Patent Application (4 pages)
- 3. Copy of Filing Receipt

Please revise the Filing Receipt accordingly, and forward a copy of the reissued Filing Receipt to our office. Thank you for your assistance in this matter.

We have 9 pages to send, including this sheet. If any pages need to be retransmitted, please call 206.682.8100, Ext. 1200, within 15 minutes.

^{***} The information contained in this facsimile message is privileged and confidential information intended only for the use of the recipient named above. If the reader of this message is not the intended recipient, or the employee or agent responsible to deliver it to the intended recipient, any distribution or copying of this communication is strictly prohibited. If you have received this communication in error, please notify us immediately by telephone and return the original message to us at the above address by mail. ***

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

A. Kaykas et al.

Attorney Docket No.: UWOTL122738

Application No.: 10/826,130

Group Art Unit: 1635

Filed:

April 16, 2004

Title:

METHODS AND VECTORS FOR EXPRESSING siRNA

DESIGNATION OF PATENT PRACTITIONERS IN COMPLIANCE WITH 37 CFR 1.32

Seattle, Washington 98101

September 14, 2004

TO THE COMMISSIONER FOR PATENTS:

The patent practitioners named in the Combined Declaration and Power of Attorney (copy attached) to be recognized by the U.S. Patent Office and Trademark Office as being of record in the application to which the Power of Attorney is directed are as follows:

- Jerald E. Nagae, Reg. No. 29,418 1.
- 2. Dennis K. Shelton, Reg. No. 26,997
- Jeffrey M. Sakoi, Reg. No. 32,059 3.
- 4. Rodney C. Tullett, Reg. No. 34,034
- 5. George E. Renzoni, Ph.D., Reg. No. 37,919
- 6. John D. Denkenberger, Reg. No. 44,060
- 7. Barry F. McGurl, Reg. No. 43,340
- 8. Shoko I. Leek, Reg. No. 43,746

///

///

///

LAW OFFICES OF CHRISTENSEN O'CONNOR JOHNSON KINDNESSTALE 1420 Fifth Avenuc Suite 2800 Seattle, Washington 98101 206.682.8100

Applicants' attorney respectfully requests that the indicated attorneys be made of record and that the Filing Receipt be revised accordingly and reissued.

Respectfully submitted,

CHRISTENSEN O'CONNOR JOHNSON KINDNESSPILC

Karen Blöchlinger, Ph.D. Registration No. 41,395 Direct Dial No. 206.695.1783

I hereby certify that this correspondence is being transmitted via facsimile to the U.S. Patent and Trademark Office, Office of Initial Patent Examination, at facsimile number 703.746.9195, on September 14, 2004.

KBB:cj

Date:

LAW OFFICES OF
CHRISTENSEN O'CONNOR JOHNSON KINDNESS***
1420 Fifth Avenue
Suite 2800
Seattle, Washington 98101
206.682.8100

Attorney Docket No. UWOTL122738

COMBINED DECLARATION AND POWER OF ATTORNEY IN PATENT APPLICATION

As the below-named inventor, I hereby declare that:

my residence, mailing address, and citizenship are as stated below next to my name.

I believe that I am the original, first, and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled METHODS AND VECTORS FOR EXPRESSING siRNA, the specification of which was filed on April 16, 2004, as United States Patent Application No. 10/826,130.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with 37 C.F.R. 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below, and I have also identified below any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed: NONE.

I hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith: Lee E. Johnson, Reg. No. 22,946; Gary S. Kindness, Reg. No. 22,178; James R. Uhlir, Reg. No. 25,096; Jerald E. Nagae, Reg. No. 29,418; Dennis K. Shelton, Reg. No. 26,997; Jeffrey M. Sakoi, Reg. No. 32,059; Ward Brown, Reg. No. 28,400; Robert J. Carlson, Reg. No. 35,472; Rodney C. Tullett, Reg. No. 34,034; Daiva K. Tautvydas, Reg. No. 36,077; Maria L. C. Anderson, Reg. No. 40,574; George E. Renzoni, Ph.D., Reg. No. 37,919; John D. Denkenberger, Reg. No. 44,060; George S. Farber, Reg. No. 41,497; Kevan L. Morgan, Reg. No. 42,015; Melanie J. Seelig, Reg. No. 44,328; Barry F. McGurl, Reg. No. 43,340; Shoko I. Leek, Reg. No. 43,746; and Mauricio A. Uribe, Reg. No. 46,206; and the firm of Christensen O'Connor Johnson Kindness^{PLLC}. Address all telephone calls to Karen Blochlinger, Ph.D., at telephone No. 206.695.1783.

Address all correspondence to:

Customer No. 26389

CHRISTENSEN O'CONNOR JOHNSON KINDNESSPLLE 1420 Fifth Avenue, Suite 2800 Seattle, WA 98101 I hereby further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

ip
ates
ip
ates ·
_

Attorney Docket No. UWOTL122738

COMBINED DECLARATION AND POWER OF ATTORNEY IN PATENT APPLICATION

As the below-named inventor, I hereby declare that:

my residence, mailing address, and citizenship are as stated below next to my name.

I believe that I am the original, first, and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled METHODS AND VECTORS FOR EXPRESSING siRNA, the specification of which was filed on April 16, 2004, as United States Patent Application No. 10/826,130.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with 37 C.F.R. 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below, and I have also identified below any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed: NONE.

I hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith: Lee E. Johnson, Reg. No. 22,946; Gary S. Kindness, Reg. No. 22,178; James R. Uhlir, Reg. No. 25,096; Jerald E. Nagae, Reg. No. 29,418; Dennis K. Shelton, Reg. No. 26,997; Jeffrey M. Sakoi, Reg. No. 32,059; Ward Brown, Reg. No. 28,400; Robert J. Carlson, Reg. No. 35,472; Rodney C. Tullett, Reg. No. 34,034; Daiva K. Tautvydas, Reg. No. 36,077; Maria L. C. Anderson, Reg. No. 40,574; George E. Renzoni, Ph.D., Reg. No. 37,919; John D. Denkenberger, Reg. No. 44,060; George S. Farber, Reg. No. 41,497; Kevan L. Morgan, Reg. No. 42,015; Melanie J. Seelig, Reg. No. 44,328; Barry F. McGurl, Reg. No. 43,340; Shoko I. Leek, Reg. No. 43,746; and Mauricio A. Uribe, Reg. No. 46,206; and the firm of Christensen O'Connor Johnson Kindness**Lic. Address all telephone calls to Karen Blochlinger, Ph.D., at telephone No. 206.695.1783.

Address all correspondence to:

Customer No. 26389

CHRISTENSEN O'CONNOR JOHNSON KINDNESSPLLC 1420 Fifth Avenue, Suite 2800 Seattle, WA 98101 I hereby further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Inventor	Citizenship
Ajamete Kaykas	United States
Residence	
Seattle, Washington	
Mailing Address	
137 NW 80th Street, Seattle, WA 98117	
Inventor's Signature	Date
- All man	12 114
	•
Full Name of Inventor	Citizenship
Randall T. Moon	United States
Residence	
Kenmore, Washington	
Mailing Address	
18531 57th Avenue NE, Kenmore, WA 9	8028
Inventor's Signature	Date
romoin	12 July 04
- 1	



UNITED STATES PATENT AND TRADEMARK OFFICE

TO: 14BB

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trudemark Office Address COMMESSIONER FOR PATENTS P.O Box 1450

L	APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
	10/826,130	04/16/2004	1635	748	UWOTL122738	2	34	7

26389 CHRISTENSEN, O'CONNOR, JOHNSON, KINDNESS, PLLC 1420 FIFTH AVENUE SUITE 2800 SEATTLE, WA 98101-2347 CONFIRMATION NO. 8693
UPDATED FILING RECEIPT

OC000000013685521

Date Mailed: 08/31/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquining about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filling Receipt, please write to the Office of Initial Patent Examination's Filling Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filling Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filling Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filling Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Ajamete Kaykas, Seattle, WA; Randall T. Moon, Kenmore, WA;

Power of Attorney: None See attached Designation of Patent Practitioners in Compliance With 37 CFR 1,32

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 06/17/2004

The number of your priority application, to be used for filing abroad under the Paris Convention is, US10/826.130

Projected Publication Date: 10/20/2005

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

PROCESSED DOCKETING

SEP 0 3 2004 N.D.
CHRISTENSEN,O'CONNOR
JOHNSON & KINDNESS CLUA

Title

Methods and vectors for expressing siRNA

Preliminary Class

514

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).